



(19)

Europäisches Patentamt

European Patent Office

Office européen des brevets



(11)

EP 0 938 194 A2

(12)

## EUROPEAN PATENT APPLICATION

(43) Date of publication:  
25.08.1999 Bulletin 1999/34

(51) Int. Cl.<sup>6</sup>: H04B 7/005, H04B 7/08,  
H04B 7/06

(21) Application number: 98124231.6

(22) Date of filing: 17.12.1998

(84) Designated Contracting States:  
AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU  
MC NL PT SE  
Designated Extension States:  
AL LT LV MK RO SI

(72) Inventors:  
• Lo, Titus  
Redmond, Washington 98052 (US)  
• Tarokh, Vahid  
Hackensack, New Jersey 07601 (US)

(30) Priority: 23.12.1997 US 68613 P  
21.04.1998 US 63765

(74) Representative:  
Modiano, Guido, Dr.-Ing. et al  
Modiano, Josif, Pisanty & Staub,  
Baaderstrasse 3  
80469 München (DE)

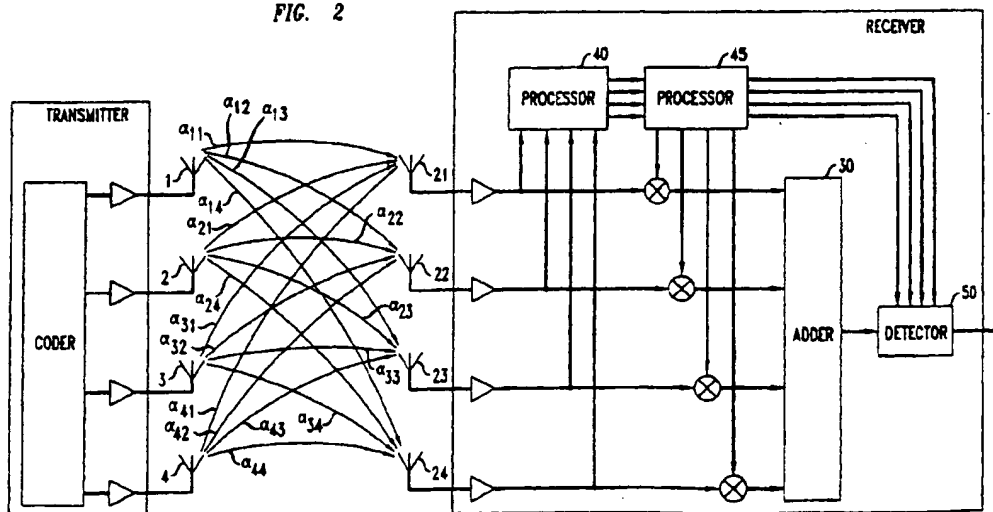
(71) Applicant:  
AT&T Wireless Services, Inc.  
Kirkland, Washington 98033 (US)

## (54) Near-optimal low-complexity decoding of space-time codes for fixed wireless applications

(57) An improved multi-antenna receiver is realized for detecting signals transmitted by a multi-antenna transmitter by summing signals received at the plurality of receiver antennas after multiplying each by a respective constant. The summed signal is applied to a maximum likelihood detector. The respective constants,  $\lambda_j$ , where  $j$  is an index designating a particular receiver antenna, are determined by evaluating the largest

eigenvalue of the matrix  $\Lambda A(\Lambda^*)^T$ , where  $\Lambda$  is a vector containing the values  $\lambda_j$ , and  $A$  is a matrix containing elements  $\alpha_{ij}$ , which is the transfer function between the  $i^{\text{th}}$  transmitter antenna to the  $j^{\text{th}}$  receiver antenna. The  $\alpha_{ij}$  terms are determined in the receiver in conventional ways.

FIG. 2



EP 0 938 194 A2

**Description****Reference to Related Applications**

- 5 [0001] This application claims the benefit of U.S. Provisional Application No. 60/068613, filed December 23, 1997.

**Background of the Invention**

- 10 [0002] This invention relates to wireless systems and, more particularly, to systems having more than one antenna at the receiver and at the transmitter.

- [0003] Physical constraints as well as narrow bandwidth, co-channel interference, adjacent channel interference, propagation loss and multi-path fading limit the capacity of cellular systems. These are severe impairments, which liken the wireless channel to a narrow pipe that impedes the flow of data. Nevertheless, interest in providing high speed wireless data services is rapidly increasing. Current cellular standards such as IS-136 can only provide data rates up to 9.6 kbps, using 30 kHz narrowband channels. In order to provide wideband services, such as multimedia, video conferencing, simultaneous voice and data, etc., it is desirable to have data rates in the range of 64-144 kbps.

- [0004] Transmission schemes for multiple antenna systems may be part of a solution to the problem of the currently available low data rates. Such schemes were first proposed in papers by Wittneben, and by Seshadri and Winters, where the problem was addressed in the context of signal processing.

- 20 [0005] One prior art arrangement having a single transmitter antenna and multiple receiver antennas is shown in FIG. 1. Each of the receiver antennas receives the transmitted signal via a slightly different channel, where each channel  $i$  is characterized by transfer function  $\alpha_i$ . Using an approach known as "Maximum Ratio Combining", the prior art approach to detection contemplates multiplying each received signal that had been influenced by  $\alpha_i$  by the complex conjugate signal,  $\alpha_i^*$ , summed, and then processed.

- 25 [0006] In a co-pending application titled "Method and Apparatus for Data Transmission Using Space-Time Codes and Multiple Transmit Antennas", filed on May 6, 1997, bearing the Serial No. 08/847,635, and assigned to the assignee of this invention, a coding perspective was adopted to propose space-time coding using multiple transmit and receive antennas. Space-time coding integrates channel coding, modulation, and multiple transmit antennas to achieve higher data rates, while simultaneously providing diversity that combats fading. It may be demonstrated that adding channel coding provides significant gains over the schemes of Wittneben and Seshadri and Winters. In said co-pending application, space-time codes were designed for transmission using 2-4 transmit antennas. These codes perform extremely well in slowly varying fading environments (such as indoor transmission media). The codes have user bandwidth efficiencies of up to 4 bits/sec/Hz which are about 3-4 times the efficiency of current systems. Indeed, it can be shown that the designed codes are optimal in terms of the trade-off between diversity advantage, transmission rate, decoding complexity and constellation size.

- 35 [0007] It can also be shown that as the number of antennas is increased, the gain increases in a manner that is not unlike a multi-element antenna that is tuned to, say, a particular direction. Unfortunately, however, when maximum likelihood detection is employed at the receiver, the decoding complexity increases when the number of transmit and receive antennas is increased. It would be obviously advantageous to allow a slightly sub-optimal detection approach that substantially reduces the receiver's computation burden.

**Summary**

- 45 [0008] Such an approach is achieved with a receiver arrangement where signals received at a plurality of antennas are each multiplied by a respective constant and then summed prior to being applied to a maximum likelihood detector. The respective constants,  $\lambda_j$ , where  $j$  is an index designating a particular receiver antenna, are derived from a processor that determines the largest eigenvalue of the matrix  $\Lambda A(\Lambda^*)^T$ , where  $\Lambda$  is a vector containing the values  $\lambda_j$ , and  $A$  is a matrix containing elements  $\alpha_{ij}$ , which is the transfer function between the  $i^{\text{th}}$  transmitter antenna to the  $j^{\text{th}}$  receiver antenna. The  $\alpha_{ij}$  terms are determined in the receiver in conventional ways.

**Brief Description of the Drawing****[0009]**

- 55 FIG. 1 presents a block diagram of Maximal Ratio Combining detection; and  
FIG. 2 presents a block diagram of an arrangement including a transmitter having a plurality of antennas, and a receiver having a plurality of antennas coupled to an efficient detection structure.

Detailed Description

[0010] FIG. 1 presents a block diagram of a receiver in accord with the principles of this invention. It includes a transmitter 10 that has an  $n$  plurality of transmitting antenna 1, 2, 3, 4, and a receiver 20 that has an  $m$  plurality of receiver antennas 21, 22, 23, 24. The signals received by the receiver's antennas are multiplied in elements 25, 26, 27, and 28, and summed in adder 30. More specifically, the received signal of antenna  $j$  is multiplied by a value,  $\lambda_j$ , and summed. The collection of factors  $\lambda_j$  can be viewed as a vector  $\Lambda$ . The outputs of the receiver antennas are also applied to processor 40 which, employing conventional techniques, determines the transfer functions  $\alpha_{ij}$  for  $i=1, 2, 3, \dots, n$  and  $j=1, 2, 3, \dots, m$ . These transfer functions can be evaluated, for example, through the use of training sequences that are sent by the different transmitter antennas, one antenna at a time.

[0011] The evaluated  $\alpha_{ij}$  signals of processor 40 are applied to processor 45 in FIG. 1 where the multiplier signals  $\lambda_j, j=1, 2, 3, \dots, m$  are computed. Processor 45 also evaluates a set of combined transfer function values  $\gamma_i, i=1, 2, 3, \dots, n$  (which are described in more detail below). Signals  $\gamma_i$  of processor 45 and the output signal of adder 30 are applied to detector 50 which detects the transmitted symbols in accordance with calculations disclosed below.

[0012] It is assumed that the symbols transmitted by the antennas of transmitter 10 have been encoded in blocks of  $L$  time frames, and that fading is constant within a frame. A codeword comprises all of the symbols transmitted within a frame, and it corresponds, therefore, to

$$c_1^1 c_1^2 c_1^3 \dots c_1^4 c_2^1 c_2^2 c_2^3 \dots c_2^4 c_3^1 c_3^2 c_3^3 \dots c_3^4 \dots c_m^1 c_m^2 c_m^3 \dots c_m^4,$$

where the superscript designates the transmitter's antennas and the subscript designates the time of transmission (or position within a frame).

[0013] From the standpoint of a single antenna, e.g., antenna 1, the signal that is received at antenna 1 in response to a transmitted symbol  $c_1^1$  at time interval  $t$  is:

$$\begin{aligned} R_t &= c_t^1 (\alpha_{11} \lambda_1 + \alpha_{12} \lambda_2 + \alpha_{13} \lambda_3 + \dots + \alpha_{1m} \lambda_m) \\ &= c_t^1 \sum_{j=1}^m \lambda_j \alpha_{1j} \\ &= c_t^1 \gamma_1 \end{aligned}$$

(when noise is ignored). If each  $\lambda_j$  value is set to  $\alpha_{1j}^*$  (where  $\alpha_{1j}^*$  is the complex conjugate of  $\alpha_{1j}$ ) then the received signal would simply be

$$R_t = c_t^{11} \sum_{i=1}^m |\alpha_{11}|^2$$

yielding a constructive addition.

[0014] Of course, the values of  $\lambda_j$  cannot be set to match  $\alpha_{1j}^*$  and concurrently to match the values of  $\alpha_{ij}^*$  where  $i \neq 1$ ; and therein lies the difficulty.

[0015] When all  $n$  of the transmitting antennas are considered, then the received signal is

$$\begin{aligned} R_t &= \sum_{i=1}^n \left( c_t^i \sum_{j=1}^m \lambda_j \alpha_{ij} \right) \\ &= \sum_{i=1}^n c_t^i \gamma_i \end{aligned}$$

[0016] In accordance with the present disclosure, the objective is to maximize

$$\sum_{i=1}^n |\gamma_i|^2$$

5 because by doing so, signal  $R_t$  contains as much information about  $c_t^i, i = 1, 2, 3, \dots, n$  as is possible. However, it can be easily shown that if a matrix  $A$  is constructed such that

$$10 \quad A = \sum_{i=1}^n (\Omega_i)^T \Omega_i,$$

where  $\Omega_i = (\alpha_{i1}, \alpha_{i2}, \alpha_{i3}, \dots, \alpha_{im})$ , then

$$15 \quad \sum_{i=1}^n |\gamma_i|^2 = \Lambda A (\Lambda^*)^T.$$

20 **[0017]** The receiver, thus, has to maximize  $\Lambda A (\Lambda^*)^T$ , subject to the constraint  $\|\Lambda\|^2 = 1$ . The solution to this problem is to choose  $\Lambda$  to be the eigenvector of  $A$  which corresponds to the maximum eigenvalue of  $A$ . Accordingly, processor 45 develops the matrix  $A$  from the values of  $\alpha_{ij}$ , finds the eigenvalues of  $A$  in a conventional manner, selects the maximum eigenvalue of  $A$ , and creates the vector  $\Lambda$ . Once  $\Lambda$  is known, processor 45 develops signals  $\gamma_i$  for  $i = 1, 2, 3, \dots, n$ , (where

$$25 \quad \gamma_i = \sum_{j=1}^m \lambda_j \alpha_{ij}),$$

30 and applies them to detector 50. Finally, detector 50 minimizes the metric

$$35 \quad \sum_{t=1}^L \left| R_t - \sum_{i=1}^n \gamma_i c_t^i \right|^2$$

from amongst all possible codewords in a conventional manner. As can be seen, this approach reduces the complexity of decoding by almost a factor of  $m$ .

40 **[0018]** FIG. 1 depicts separate multipliers to multiply received signals by multiplication factors  $\lambda_i$ , and it depicts separate blocks for elements 30, 40, 45, and 50. It should be understood, however, that different embodiments are also possible. For example, it is quite conventional to incorporate all of the above-mentioned elements in a single special purpose processor, or in a single stored program controlled processor (or a small number of processors). Other modifications and improvements may also be incorporated, without departing from the spirit and scope of the invention, which is defined in the following claims.

45 **[0019]** Where technical features mentioned in any claim are followed by reference signs, those reference signs have been included for the sole purpose of increasing the intelligibility of the claims and accordingly, such reference signs do not have any limiting effect on the scope of each element identified by way of example by such reference signs.

## Claims

50

1. A receiver comprising:

an  $n$  plurality of antennas, where  $n$  is greater than one;  
circuitry for obtaining  $n$  signals transmitted from  $m$  antennas of a transmitter, where  $m$  is greater than one; and  
55 processing means for

developing a sum signal that corresponds to the addition of said  $n$  signals that are each pre-multiplied by a respective factor  $\lambda_j$ , where  $i$  is an index integer specifying that factor  $\lambda_j$  multiplies the signal received from

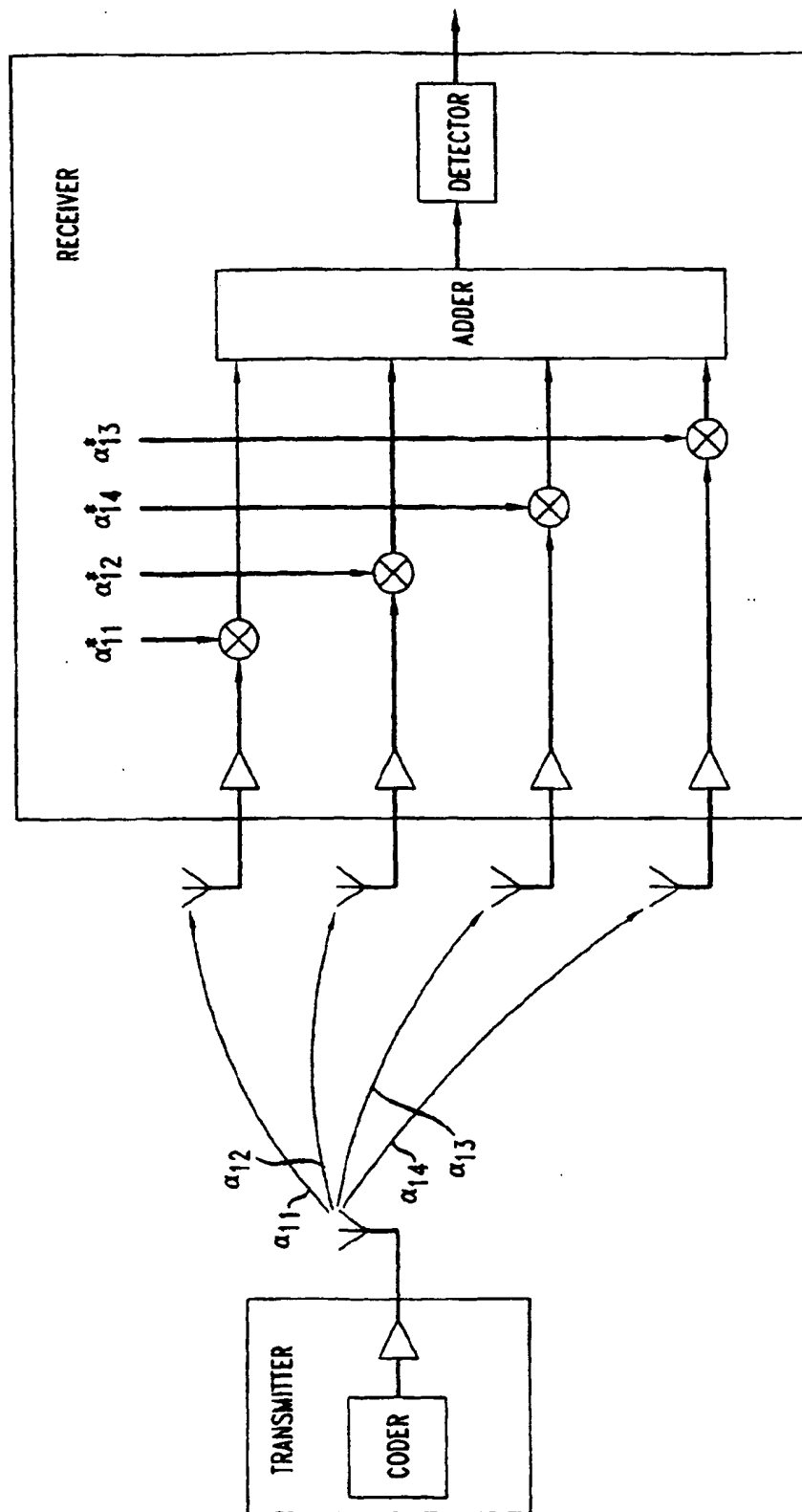
antenna  $i$  of said  $n$  plurality of antennas,  
 developing values for transfer functions  $\alpha_{ij}$ , where  $i$  is an index that references said transmitting antennas,  
 and  $j$  is an index that references said receiving antennas,  
 developing said  $\lambda_j$  factors from said transfer functions, and  
 detecting symbols transmitted by said  $m$  transmitter antennas embedded in said sum signal.

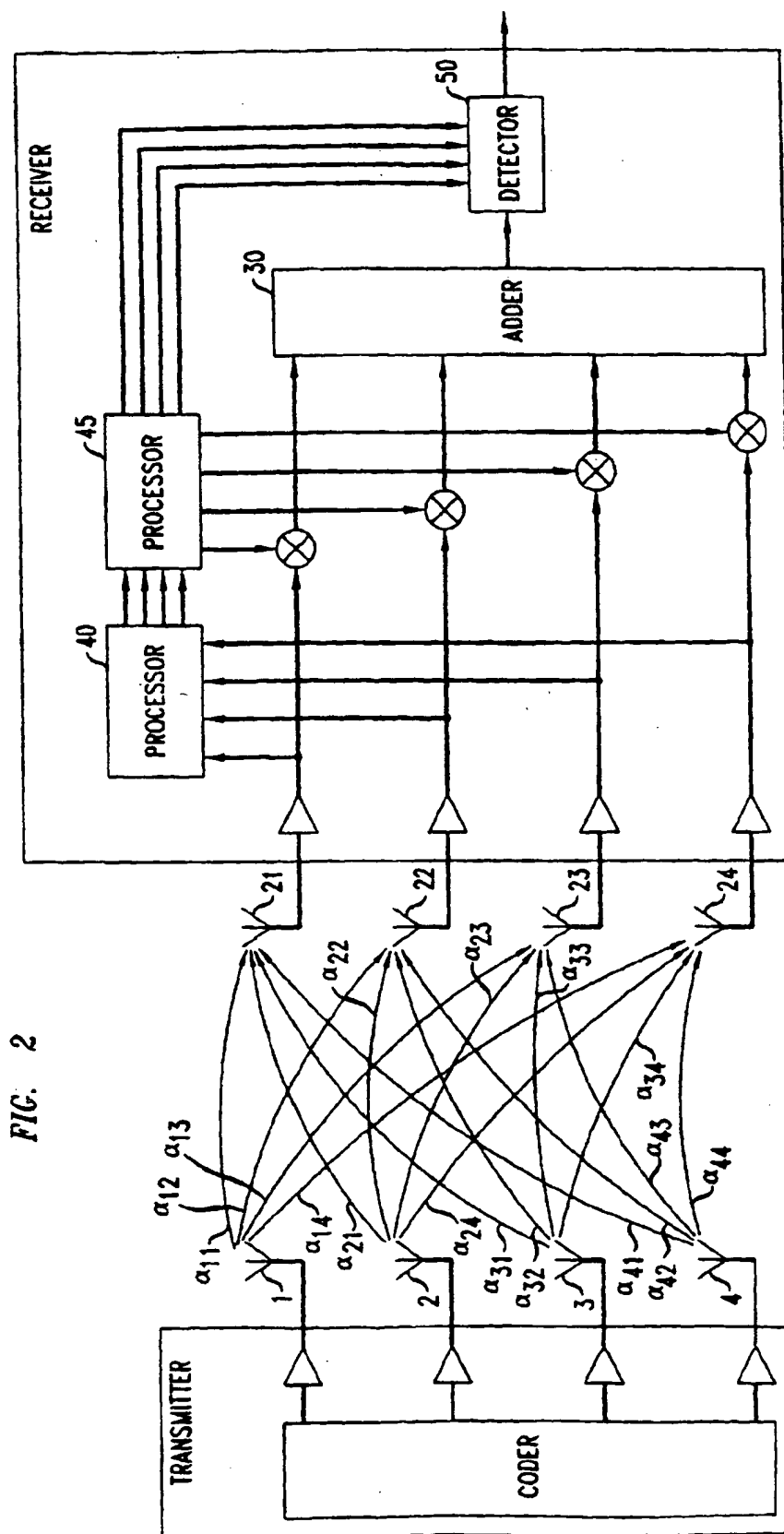
2. The receiver of claim 1 where said factors  $\lambda_j$  are related to said transfer functions  $\alpha_{ij}$ .
3. The receiver of claim 1 where said factors are components of a vector  $\Lambda$  where  $\Lambda$  is an eigenvalue of  $\Lambda A(\Lambda^*)^T$ , and  
 where  $A$  is a matrix containing said elements  $\alpha_{ij}$ .
4. The receiver of claim 1 where said detecting compares said sum signal to a signal corresponding to symbols  $c^i$   
 possibly transmitted by transmitting antenna  $i$  of said  $m$  transmitting antennas multiplied by corresponding factors  
 $\gamma_i$ .
5. The receiver of claim 4 where said corresponding factor  $\gamma_i$  is related to said factors  $\lambda_j$ , for  $j=1, 2, 3, \dots, m$ , and to  $\alpha_{ij}$ .
6. The receiver of claim 4 where said detecting maximizes the metric

$$\sum_{t=1}^L \left| R_t - \sum_{i=1}^n \gamma_i c_t^i \right|^2,$$

where  $R_t$  is said sum signal at time interval  $t$  within a frame having  $L$  time intervals, and  $c_t^i$  is the symbol that might  
 have been transmitted over transmitting antenna  $i$  at time interval  $t$ .

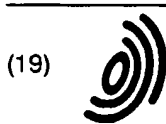
FIG. 1 (Prior Art)











Europäisches Patentamt  
European Patent Office  
Office européen des brevets



(11) EP 0 938 194 A3

(12)

## EUROPEAN PATENT APPLICATION

(88) Date of publication A3:  
17.05.2000 Bulletin 2000/20

(51) Int. Cl. 7: H04B 7/005, H04B 7/08,  
H04B 7/06, H04L 1/06

(43) Date of publication A2:  
25.08.1999 Bulletin 1999/34

(21) Application number: 98124231.6

(22) Date of filing: 17.12.1998

(84) Designated Contracting States:  
AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU  
MC NL PT SE  
Designated Extension States:  
AL LT LV MK RO SI

(72) Inventors:  
• Lo, Titus  
Redmond, Washington 98052 (US)  
• Tarokh, Vahid  
Hackensack, New Jersey 07601 (US)

(30) Priority: 23.12.1997 US 68613 P  
21.04.1998 US 63765

(74) Representative:  
Modiano, Guido, Dr.-Ing. et al  
Modiano, Josif, Pisanty & Staub,  
Baaderstrasse 3  
80469 München (DE)

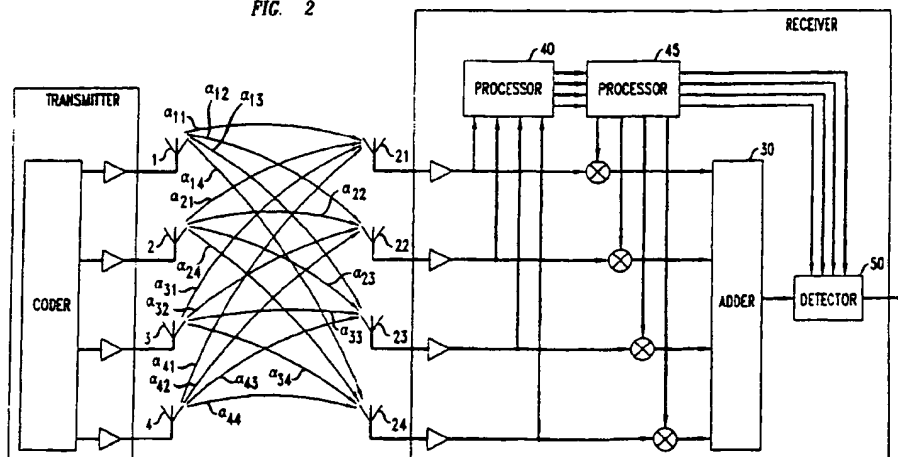
(71) Applicant:  
AT&T Wireless Services, Inc.  
Kirkland, Washington 98033 (US)

### (54) Near-optimal low-complexity decoding of space-time codes for fixed wireless applications

(57) An improved multi-antenna receiver is realized for detecting signals transmitted by a multi-antenna transmitter by summing signals received at the plurality of receiver antennas after multiplying each by a respective constant. The summed signal is applied to a maximum likelihood detector. The respective constants,  $\lambda_j$ , where  $j$  is an index designating a particular receiver antenna, are determined by evaluating the largest

eigenvalue of the matrix  $\Lambda A(\Lambda^*)^T$ , where  $\Lambda$  is a vector containing the values  $\lambda_j$ , and  $A$  is a matrix containing elements  $a_{ij}$ , which is the transfer function between the  $i^{\text{th}}$  transmitter antenna to the  $j^{\text{th}}$  receiver antenna. The  $a_{ij}$  terms are determined in the receiver in conventional ways.

FIG. 2



EP 0 938 194 A3



European Patent  
Office

# EUROPEAN SEARCH REPORT

Application Number  
EP 98 12 4231

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int. Cl. 6)
A	GB 2 311 445 A (MOTOROLA INC) 24 September 1997 (1997-09-24) * page 8, line 11 - line 13 * * page 11, line 26 - page 12, line 11 * * page 10, line 7 - line 11 * * figure 1 *	1,2	H04B7/005 H04B7/08 H04B7/06 H04L1/06
A	WO 91 20142 A (MOTOROLA INC) 26 December 1991 (1991-12-26) * page 5, line 3 - line 17 * * page 7, line 15 - line 18 * * figure 4 *	1,2	
			TECHNICAL FIELDS SEARCHED (Int. Cl. 6)
			H04L H04B
The present search report has been drawn up for all claims			
Place of search BERLIN		Date of completion of the search 23 March 2000	Examiner Farese, L
<p><b>CATEGORY OF CITED DOCUMENTS</b></p> <p>X : particularly relevant if taken alone  Y : particularly relevant if combined with another document of the same category  A : technological background  O : non-written disclosure  P : intermediate document</p> <p>T : theory or principle underlying the invention  E : earlier patent document, but published on, or after the filing date  D : document cited in the application  L : document cited for other reasons  &amp; : member of the same patent family, corresponding document</p>			

EPO FORM 1603 03/02 (P04001)

**ANNEX TO THE EUROPEAN SEARCH REPORT  
ON EUROPEAN PATENT APPLICATION NO.**

EP 98 12 4231

This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report. The members are as contained in the European Patent Office EDP file on  
The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

23-03-2000

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
GB 2311445 A	24-09-1997	US 5812542 A	22-09-1998
		CN 1166727 A	03-12-1997
		FR 2746233 A	19-09-1997
		JP 9261203 A	03-10-1997
WO 9120142 A	26-12-1991	AU 638580 B	01-07-1993
		AU 7956891 A	07-01-1992
		DE 69113962 D	23-11-1995
		DE 69113962 T	15-05-1996
		EP 0489880 A	17-06-1992
		HK 1000528 A	03-04-1998
		JP 2601027 B	16-04-1997
		JP 5501190 T	04-03-1993
		KR 9507975 B	21-07-1995
		US 5140615 A	18-08-1992

**THIS PAGE BLANK (USPTO)**

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**

**THIS PAGE BLANK (USPTO)**